

Name: Alvar Agusti

Member of: BoD, ScC

Are there any relevant financial interests to disclose? (Yes or No in each box)

Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
GSK	х	Х			
AZ	х	Х			
Menarini	Х	Х			
Chiesi	Х	Х			
Sanofi	Х				
Nuvaira		Х			
Zambon		Х			

No SHARES

No Tobacco industry relationship



Name: Antonio Anzueto MD

Member of: Scientific Committee

Are there any relevant financial interests to disclose? (Yes)

		Personal	Non- Financial		
Name of Entity	Grant?	Fee?	Support?	Other?	Comments:
					Advisory Board,
GlaxoSmithKline		Х			speaker
Theravance		Х			Advisory Board, speaker
Boerhinger-Ingelheim		х			Advisory Board, speaker
Mylan		Х			Advisory Board, speaker
Novartis		Х			Advisory Board
Grifols		Х			Advisory Board, speaker
Sunovion Pharmaceutical		X			Advisory Board, speaker
Verona Pharmaceutical		Х			Advisory Board
Chiessi Pharma.		Х			Advisory Board
	-				



Name: Peter Barnes

Member of: GOLD Scientific Committee 2019

Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
AstraZeneca	Yes	Yes	No	No	
GSK	No	Yes	No	No	
Boehringer Ingelheim	Yes	Yes	No	No	
Novartis	No	Yes	No	No	
Teva	No	Yes	No	No	
Pieris	No	Yes	No	No	
Heptaris	yes	No	No	No	
No shares					



Name: (ICHARD BEASLEY

Member of: GOLD BOAND OF TRUJECT

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Astro Zeneca	~				
Glaro Smith Kline	V	~			
Genentech	~				
Avillion					
Meravance		~			
Fisher & Payked Heattlieve	V				
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Name: Jean Bourbeau

Member of: Scientific Committee Global Initiative for COPD

			Non-		
Name of Entity	Grant?	Personal Fee?	Financial Support?	Other?	Comments:
CIHR	Yes				
Canadian Respiratory Research Network (CRRN)	Yes				
Canadian Thoracic Society		Yes			Consultant/Lecture
CHEST		Yes			Consultant/Lecture
Foundation of the MUHC	Yes				
Aerocrine	Yes				
AstraZeneca	Yes	Yes			Advisor/Lecture
Boehringer Ingelheim	Yes	Yes			Advisor/Lecture
Grifols	Yes	Yes			Advisor/Lecture
GlaxoSmithKline	Yes	Yes			Advisor/Lecture
Novartis	Yes	Yes			Advisor/Lecture
Trudell	Yes	Yes			Advisor/Lecture



Name: Bartolome Celli

Member of: GOLD Executive Committee

	Dorconol	Non- Financial		
Grant?	Fee?	Support?	Other?	Comments:
				Grant to
				institution
	Yes			Consulting Fee
	Yes			Consulting Fee
	Yes			Consulting Fee
	Yes			Consulting Fee
	Yes			Consulting Fee
	Yes			Consulting Fee
	Yes			Consulting Fee
	Yes			Consulting Fee
	Grant?	Yes Yes Yes Yes Yes Yes Yes Yes Yes	Personal Fee?Financial Support?Grant?YesYes-	Personal Fee?Financial Support?Other?Yes?YesY



Name: Rongchang Chen

Member of: Director Borad

Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
GSK	\checkmark				
Astra-Zeneca	\checkmark	\checkmark			Speaker fee
Philips-Respironics	\checkmark	\checkmark			Speaker fee
Incentive Co	√				Speaker fee



Name: Gerard J. Criner, MD

Member of: GOLD BoD

Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
ALung Technologies, Inc.	<mark>Yes</mark>	No	No	No	
American College of Radiology	Yes	No	No	No	
American Lung Association	Yes	No	No	No	
Amgen	No	Yes	No	No	
AstraZeneca	Yes	Yes	No	No	
BioScale, Inc.	Yes	No	No	No	
Boehringer Ingelheim	Yes	Yes	No	No	
BREATH Therapeutics, Inc.	Yes	No	No	No	
Broncus Medical	No	Yes	No	No	
COPD Foundation	<mark>Yes</mark>	No	No	No	
Coridea/ZIDAN	<mark>Yes</mark>	No	No	No	
CSA Medical	No	Yes	No	No	
Dr. Karen Burns, St. Michael's Hospital	Yes	No	No	No	
Eolo Medical	No	Yes	No	No	
Fisher & Paykel Healthcare Limited	Yes	No	No	No	
Galapagos NV	Yes	No	No	No	
Gala Therapeutics	No	Yes	No	No	
GlaxoSmithKline	Yes	Yes	No	No	
Helios Medical	No	Yes	No	No	
HGE Health Care Solutions	No	No	No	Yes	Ownership Interest
Lungpacer Medical, Inc.	Yes	No	No	No	
Merck	No	Yes	No	No	
Medtronic	No	Yes	No	No	
Mereo BioPharma	No	Yes	No	No	
National Heart, Lung, & Blood Institute (NHLBI)	Yes	No	No	No	
NGM Biopharmaceuticals	No	Yes	No	No	
Nuvaira, Inc.	Yes	No	No	No	

Novartis	No	<mark>Yes</mark>	No	No	
Olympus	No	Yes	No	No	
PCORI	Yes	No	No	No	
Pulmonary Fibrosis Foundation	Yes	No	No	No	
PulmonX	Yes	Yes	No	No	
Respironics, Inc	Yes	<mark>Yes</mark>	No	No	
Respivant Sciences	Yes	<mark>Yes</mark>	No	No	
Spiration, Inc.	Yes	No	No	No	
Steward St. Elizabeth's Medical Center of Boston, Inc.	<mark>Yes</mark>	No	No	No	
The Implementation Group	No	Yes	No	No	
Verona Pharma	No	<mark>Yes</mark>	No	No	
Veracyte, Inc.	Yes	No	No	No	



Name: David Halpin

Member of: Board of Directors & Science Committee

		D	Non-		
Name of Entity	Grant?	Personal Fee?	Financial Support?	Other?	Comments:
Boehringer Ingelheim		Y	Y		
Chiesi Pharmaceuticals		Y			
GSK		Y			
Novartis		Y	Υ		
Pfizer		Y			



Name: MeiLan Han

Member of: GOLD SC

			Non-		
		Personal	Financial		~
Name of Entity	Grant?	Fee?	Support?	Other?	Comments:
GSK		Х			
BI		Х			
AZ		Х			
Mylan		Х			
Merck					
					Investigator for a Sanofi sponsored clinical trial, funds paid to
Sanofi				X	institution
Novartis				x	Drug supply to support NIH funded clinical trial
					Research
Sunovion				x	support for clinical trial
	1			1	

INTEREST

Name: Maria Victorina Lopez Varela

Member of: Board Directors



Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
Boehringer Ingelheim	No	Yes	No	No	Conference
AstraZeneca	No	Yes	No	No	Project Steering Committee



Name: Fernando Martinez

Member of: GOLD SC

			Non-		
		Personal	Financial		
Name of Entity	Grant?	Fee?	Support?	Other?	Comments:
					COPD ad
	.		TT () 1		boards, and study
	X (academic	X	X (travel		steering
AstraZeneca	productivity)	(honoraria) X	support)		committee
Boehringer Ingelheim		X (honoraria)	X (travel support)		ERS COPD presentation
Boenringer ingemein	Х	(nonoraria)	support)		COPD advisor –
	A (academic				supporting NIH
ProTerrixBio	productivity)				cohort study
Gala	productivity)				COPD Ad board
Gala					COPD Ad board COPD ad
					boards, and study
	Х				steering
	(academic	Х	X (travel		committee and
GlaxoSmithKline	productivity)	(honoraria)	support)		DSMB
	1 2/	X	X (travel		
Teva		(honoraria)	support)		COPD ad board
		Х			
UpToDate		(honoraria)			COPD CME



Name: Maria Montes de Oca

Member of: Scientific Committee and Board of Directors

		Personal	Non- Financial		
Name of Entity	Grant?	Fee?	Support?	Other?	Comments:
					Speaker
AstraZeneca	No	Yes	No	No	committee fees
Boehringer Ingelheim	No	Yes	No	No	Speaker fees
					_

GLOBAL INITIATIVE FOR CHRONIC OBSTRUCTIVE LUNG DISEASE DISCLOSURE OF INTEREST FOR THE PERIOD 01/01/2019 to 31/12/2019

Name: Kevin Mortimer

Member of GOLD BOD

Are there any relevant financial interests to disclose? Yes

Research grants:

- 2015 **Medical Research Council Doctoral Training Partnership**. Biagini G, <u>Mortimer K</u> (co-lead) and Diggle P. **£1.3m**. Translational and Quantitative Skills Doctoral Training Partnership in Global Health
- 2016 Medical Research Council. Mortimer K (PI). £606,000. Lung Health in Africa across the life course
- 2017 **National Institute for Health Research.** Squire SB, Mortimer K (co-I) et al. **£7m**. NIHR Global Health Research Unit on Lung Health and Tuberculosis in Africa at LSTM
- 2017 **Medical Research Council**. Biagini G, <u>Mortimer K</u> (co-lead) and Diggle P. **£257,469**. Liverpool School of Tropical Medicine and Lancaster University NPIF Studentships
- 2017 Medical Research Council Skills Development Fellowship Programme. Mortimer K (PI). £580k. Translational and Quantitative Skills Development Fellowships in Global Health
- 2017 Medical Research Council NPIF Skills Development Fellowship. Mortimer K (PI). £286,000. Translational and Quantitative NPIF Skills Development Fellowship in Global Health
- 2017 **Medical Research Council.** Allen S (PI), <u>Mortimer K</u> (co-I) et al. **£218,002**. Improving the survival, growth and developmental of low birth weight newborns through better nutrition
- 2018 **Medical Research Council**. Biagini G, <u>Mortimer K</u> (co-lead) and Diggle P. **£188,132**. Liverpool School of Tropical Medicine and Lancaster University NPIF Studentships
- 2018 **National Institute for Health Research.** Grigg J, Mortimer K (co-I) et al. **£2m**. NIHR Global Health Research Unit on improving asthma outcomes in African children at Barts and The London Queen Mary's School of Medicine and Dentistry
- 2018 Wellcome Trust Clinical PhD. Awarded to Sepedeh Saleh. Mortimer K (primary supervisor). £455,000. A new approach to air pollution in peri-urban Malawi.
- 2018 **The Academy of Medical Sciences**. Lesosky M (PI) and <u>Mortimer K</u> (co-I). **£92.6k**. Newton Advanced Fellowship: Biostatistical Methods for Bayesian Analysis of Multilevel Models of Lung Health in Africa.
- 2018 **Medical Research Council and Kenya National Research Fund Newton-Utafiti**. <u>Mortimer K</u> and Muhwa C (co-PIs). **£715k**. Non-communicable lung disease in Kenya: from burden and early life determinants to participatory inter-disciplinary solutions.
- 2018 Medical Research Council Skills Development Fellowship Programme. Mortimer K (PI). £580k. Translational and Quantitative Skills Development Fellowships in Global Health

Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
International Union against					KM is Director of
Tuberculosis and lung					Lung Health for
disease (The Union)		Х			The Union
					Advisory board
AstraZeneca		Х			fee
				1	

TOBACCO INDUSTRY FUNDING

x I declare that in the last 5 years, I have not received any funding from the tobacco industry or from electronic nicotine delivery system companies that are wholly or partially owned by tobacco companies

<u>__</u>

Signature Date: 09/02/2020



Name: Alberto Papi

Member of: GOLD SC

Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
AstraZeneca	Yes	Yes	No	No	
Boehringer Ingelheim	Yes	Yes	No	No	
Chiesi Farmaceutici	Yes	Yes	No	No	
GlaxoSmithKline	Yes	Yes	No	No	
Mundipharma	No	Yes	No	No	
TEVA	Yes	Yes	No	No	
Novartis	Yes	Yes	No	No	
Zambon	No	Yes	No	No	
Sanofi	Yes	Yes	No	No	
Menarini	No	Yes	No	No	
Elpen Pharmaceutical	No	Yes	No	No	
MSD	No	Yes	No	No	
Avillion	No	Yes	No	No	



Name: Ian Pavord

Member of: GOLD Science Committee

Are there any relevant financial interests to disclose?

In the last 5 years IDP has received speaker's honoraria for speaking at sponsored meetings from Astra Zeneca, Boehringer Inglehiem, Aerocrine, Almirall, Novartis, Teva, Chiesi, Sanofi/Regeneron and GSK and payments for organising educational events from AZ, GSK, Sanofi/Regeneron and Teva. He has received honoraria for attending advisory panels with Genentech, Sanofi/Regeneron, Astra Zeneca, Boehringer Ingelheim, GSK, Novartis, Teva, Merck, Circassia, Chiesi and Knopp and payments to support FDA approval meetings from GSK. He has received sponsorship to attend international scientific meetings from Boehringer Ingelheim, GSK, Astra Zeneca, Teva and Chiesi. He has received a grant from Chiesi to support a phase 2 clinical trial in Oxford. He is co-patent holder of the rights to the Leicester Cough Questionnaire and has received payments for its use in clinical trials from Merck, Bayer and Insmed. In 2014-5 he was an expert witness for a patent dispute involving Astra Zeneca and Teva.

Ian Fron J 2/3/19



Name: Nicholas Roche

Member of: GOLD Science Committee

			Non-		
Name of Entity	Grant?	Personal Fee?	Financial Support?	Other?	Comments:
AstraZeneca		Yes			
Boehringer Ingelheim	Yes	Yes			
Chiesi		Yes			
Novartis	Yes	Yes			
Pfizer	Yes	Yes			
Sanofi		Yes			
Sandoz		Yes			
Mundipharma		Yes			
GSK		Yes			
3M		Yes			
AstraZeneca		Yes			



Name: Dr Sundeep Salvi

Member of: GOLD BoD

Name of Entity	Grant?	Personal Fee?	Non- Financial Support?	Other?	Comments:
Glaxo SmithKline (GSK),					Advisory Board of The Clean Breathing
UK		Yes			Institute
Glenmark Pharmaceuticals, India		Yes			Giving Talk



Name: Don D. Sin

Member of: GOLD Scientific Committee

		Personal			
Name of Entity	Grant?	Fee?	Support?	Other?	Comments:
AstraZeneca	Yes	Yes			
Boehringer Ingelheim		Yes			
Nuvaira		Yes			



Name: Dave Singh

Member of: GOLD Science Committee

			Non-		
Name of Entity	Grant?	Personal Fee?	Financial Support?	Other?	Comments:
AstraZeneca		Y			
Boehringer Ingelheim		Y			
Chiesi		Y			
Cipla		Y			
Genentech		Y			
GlaxoSmithKline		Y			
Glenmark		Y			
Gossamerbio		Y			
Menarini		Y			
Mundipharma		Y			
Novartis		Y			
Peptinnovate		Y			
Pfizer		Y			
Pulmatrix		Y			
Theravance		Y			
Verona		Y			



Name: R.Stockley

Member of: Scientific committee

			Non-		
		Personal	Financial		
Name of Entity	Grant?	Fee?	Support?	Other?	Comments:
					CI for phase 2
				Travel	study.
				fee to	Therapeutic in
Mereo biopharma	yes	yes	no	FDA	vitro studies
					Steering board
CSL Behring	no	yes	no		and lecture fees
Kamada					DSMB for phase
	no	yes	no		3 study
Vertex	no	yes	no		Steering board
Z factor	no	Yes	no		Steering board
				Travel	
Alpha one Foundation	yes	no	no	fee	
COV				Lecture	
GSK	no	no	no	fee	
	1				
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Name: Claus Vogelmeier

Member of: GOLD BoD

		Personal	Non- Financial		
Name of Entity	Grant?	Fee?	Support?	Other?	Comments:
Boehringer		х			
CSL Behring		х			
Chiesi		Х			
GlaxoSmithKline		Х			
Grifols		Х			
Menarini		Х			
Novartis		Х			
AstraZeneca	\boxtimes	х			
Nuvaira		х			



Name: Wisia Wedzicha

Member of: GOLD Science Committee

		Porsonal	Non- Financial		
Name of Entity	Grant?		Support?	Other?	Comments:
GSK	Yes				
Astra Zeneca	Yes				
Chiesi	Yes				
Boehringer	Yes				
Novartis	Yes				
<u> </u>					